

MAY. 7. 2004 3:53PM

UNIVATION LAW DEPT

1755  
NO. 4819 P. 1/12

Univation Technologies, LLC 5555 San Felipe, 19<sup>th</sup> Floor, Houston, Texas 77056 United States of America

**Univation**  
TECHNOLOGIES

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Date: Friday, May 07, 2004

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**TO: MAIL STOP RCE**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Attn: Group 1755/Examiner Pasterczyk

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**FROM: KEVIN M. FAULKNER**

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REMARKS: ☐ Urgent ☒ For your review ☐ Reply ASAP ☐ Please comment

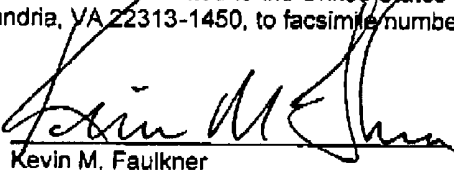
Re: **U.S.S.N.: 09/932,520**  
Filing Date: 08/17/2001  
Attorney Docket No.: 1999U021D1.US

**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that this correspondence consisting of a RCE Transmittal and Fee Transmittal, a one-month extension of time request and an Amendment are being facsimile transmitted to the United States Patent and Trademark Office, Mail Stop RCE, P.O. Box 1450, Alexandria, VA 22313-1450, to facsimile number (703) 872-9306, Attn: Examiner Pasterczyk, on Friday, May 07, 2004.

Date

May 7/04

  
Kevin M. Faulkner  
Attorney for Applicants  
Registration No. 45,427

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PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0651-0032  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL**  
**for FY 2003**

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)  
880.00**Complete if Known**

|                      |                      |
|----------------------|----------------------|
| Application Number   | 09/932,520           |
| Filing Date          | 08/17/2001           |
| First Named Inventor | Wenzel, Timothy T.   |
| Examiner Name        | Pastarczyk, James W. |
| Art Unit             | 1755                 |
| Attorney Docket No.  | 1999U021D1.US        |

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit Account Number  
50-0589  
Deposit Account Name  
Univation Technologies

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments☐ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description        | Fee Paid     |
|----------------------------|----------------------------|------------------------|--------------|
| 1001 750                   | 2001 375                   | Utility filing fee     |              |
| 1002 330                   | 2002 165                   | Design filing fee      |              |
| 1003 520                   | 2003 260                   | Plant filing fee       |              |
| 1004 750                   | 2004 375                   | Reissue filing fee     |              |
| 1005 160                   | 2005 80                    | Provisional filing fee |              |
| SUBTOTAL (1)               |                            |                        | (\$)<br>0.00 |

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims  - 20\*\* =  X  =  Fee Paid   
 Independent Claims  - 3\*\* =  X  =  Fee Paid   
 Multiple Dependent  =  Fee Paid

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  | Fee Paid     |
|----------------------------|----------------------------|--|--------------|
| 1202 18                    | 2202 9                     | Claims in excess of 20                                     |              |
| 1201 84                    | 2201 42                    | Independent claims in excess of 3                          |              |
| 1203 280                   | 2203 140                   | Multiple dependent claim, if not paid                      |              |
| 1204 84                    | 2204 42                    | ** Reissue independent claims over original patent         |              |
| 1205 18                    | 2205 9                     | ** Reissue claims in excess of 20 and over original patent |              |
| SUBTOTAL (2)               |                            |  | (\$)<br>0.00 |

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  | Fee Paid |
|----------------------------|----------------------------|--|----------|
| 1051 130                   | 2051 65                    | Surcharge - late filing fee or oath  |          |
| 1052 50                    | 2052 25                    | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053 130                   | 1053 130                   | Non-English specification  |          |
| 1812 2,520                 | 1812 2,520                 | For filing a request for ex parte reexamination                            |          |
| 1804 920*                  | 1804 920*                  | Requesting publication of SIR prior to Examiner action                     |          |
| 1805 1,840*                | 1805 1,840*                | Requesting publication of SIR after Examiner action                        |          |
| 1251 110                   | 2251 55                    | Extension for reply within first month                                     | 110.00   |
| 1252 410                   | 2252 205                   | Extension for reply within second month                                    |          |
| 1253 930                   | 2253 465                   | Extension for reply within third month                                     |          |
| 1254 1,450                 | 2254 725                   | Extension for reply within fourth month                                    |          |
| 1255 1,970                 | 2255 985                   | Extension for reply within fifth month                                     |          |
| 1401 320                   | 2401 160                   | Notice of Appeal   |          |
| 1402 320                   | 2402 160                   | Filing a brief in support of an appeal                                     |          |
| 1403 280                   | 2403 140                   | Request for oral hearing   |          |
| 1451 1,510                 | 1451 1,510                 | Petition to institute a public use proceeding                              |          |
| 1452 110                   | 2452 55                    | Petition to revive - unavoidable   |          |
| 1453 1,300                 | 2453 650                   | Petition to revive - unintentional   |          |
| 1501 1,300                 | 2501 650                   | Utility issue fee (or reissue)   |          |
| 1502 470                   | 2502 235                   | Design issue fee   |          |
| 1503 630                   | 2503 315                   | Plant issue fee  |          |
| 1460 130                   | 1460 130                   | Petitions to the Commissioner  |          |
| 1807 50                    | 1807 50                    | Processing fee under 37 CFR 1.17(q)  |          |
| 1806 180                   | 1806 180                   | Submission of Information Disclosure Stmt                                  |          |
| 8021 40                    | 8021 40                    | Recording each patent assignment per property (times number of properties) |          |
| 1809 750                   | 2809 375                   | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810 750                   | 2810 375                   | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801 750                   | 2801 375                   | Request for Continued Examination (RCE)                                    | 770.00   |
| 1802 900                   | 1802 900                   | Request for expedited examination of a design application                  |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)  
880.00**SUBMITTED BY**

Name (Print/Type) Kevin M. Faulkner

Signature

Registration No.  
(Attorney/Agent)

45,427

(Complete if applicable)

Telephone 713-892-3729

Date

May 7/04

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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